HIGE Read

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2598.A06

: Konstanze SAATHOFF et al.

Appln. No

: 09/554,343

Attn: Box 16

**REFUND SECTION** 

Filed

: December 6, 2000

For

: MOTOR-DRIVEN SCREW DRIVER

#### **REQUEST FOR REFUND**

Commissioner of Patents and Trademarks Washington, DC 20231

Sir:

Applicants respectfully request a refund in the amount of \$160.00 of the \$320.00 paid on October 29, 2002 in connection with the filing of the Appeal Brief and \$215.00 of the \$430.00 paid on August 29, 2002 in connection with the filing of the Notice of Appeal for the above-identified application. The basis of this Request for Refund is the fact that a Statement Concerning Small Entity Status was filed on December 6, 2000 (a copy of which is enclosed), and therefore Applicants are entitled to a refund.

Please make payment of the refund to Deposit Account No. 19-0089.

P22598.A06

Respectfully submitted.
Konstanze SAATHOFF et al.

Neil F. Greenblum

Reg. No. 28,394

October 31, 2002 GREENBLUM & BERNSTEIN, P.L.C. 1941 Roland Clarke Place Reston, VA 20191 (703) 716-1191

	Serial or Patent No.: 09/55	SAATHOFF and Achim LÜBBERI	NG Attomey's Docket No.: HHI-023US
IP	Filed or Issued: May I	2, 2000 OR-DRIVEN SCREW DRIVER	
, , o 1			CLAIMING SMALL ENTITY STATUS LLL BUSINESS CONCERN
	I hereby declare that I am		
4 TRAD	the owner of the SIT	nall business concern identified below:	
THAL	an official of the sn	nall business concern empowered to ac	t on behalf of the concern identified below:
	NAME OF SMALL BUSI	NESS CONCERN IOHAN	NES LÜBBERING AG
	ADDRESS OF SMALL B		
	7,551,555 07 5111,155 2		Baar, SWITZERLAND
	CFR 121.12, and reproduced Office, in that the number of purposes of this statement, (I concern of the persons emploand (2) concerns are affiliate:	in 37 CFR 1.9(d), for purposes of payemployees of the concern, including the other particular of the businged on a full-time, part-time or temporary.	ern qualifies as a small business concern as defined in 13 ing reduced fees to the United States Patent and Trademark nose of its affiliates, does not exceed 500 persons. For ness concern is the average over the previous fiscal year of the rary basis during each of the pay periods of the fiscal year, indirectly, one concern controls or has the power to control trol both.
	I hereby declare that ri identified above with regard		onveyed to and remain with the small business concern
	the specification fil	led herewith with title as listed above.	
	the application iden	·	
	the patent identifie		ern are not exclusive, each individual, concern or organization
	If the rights held by the having rights in the inventior would not qualify as an indep would not qualify as a small *NOTE: Separate verified small entities. (3) NAME_ADDRESS	e above identified small business conce in is listed below* and no rights to the in pendent inventor under 37 CFR 1.9(c) business concern under 37 CFR 1.9(d) statements are required from each named person, 7 CFR 1.27)	ern are not exclusive, each individual, concern or organization evention are held by any person, other than the inventor, who if that person made the invention, or by any concern which, or a nonprofit organization under 37 CFR 1.9(e).
	If the rights held by the having rights in the invention would not qualify as an indep would not qualify as a small *NOTE: Separate verified small entities. (35)	e above identified small business conce n is listed below* and no rights to the in pendent inventor under 37 CFR 1.9(c) business concern under 37 CFR 1.9(d) statements are required from each named person, 7 CFR 1.27)	nvention are held by any person, other than the inventor, who if that person made the invention, or by any concern which, or a nonprofit organization under 37 CFR 1.9(e). concern or organization having rights to the invention avening to their status is
	If the rights held by the having rights in the inventior would not qualify as an indepwould not qualify as a small  *NOTE: Separate verified small entities. (35)  NAME ADDRESS	e above identified small business conce in is listed below* and no rights to the in pendent inventor under 37 CFR 1.9(c) business concern under 37 CFR 1.9(d) statements are required from each named person, 7 CFR 1.27)	nvention are held by any person, other than the inventor, who if that person made the invention, or by any concern which, or a nonprofit organization under 37 CFR 1.9(e). concern or organization having rights to the invention averting to their status is
	If the rights held by the having rights in the invention would not qualify as an indep would not qualify as a small *NOTE: Separate verified small entities. (3: NAME ADDRESS	e above identified small business concert is listed below* and no rights to the inpendent inventor under 37 CFR 1.9(c) business concern under 37 CFR 1.9(d) statements are required from each named person, 7 CFR 1.27)	if that person made the invention, or by any concern which, or a nonprofit organization under 37 CFR 1.9(e).  concern or organization having rights to the invention averting to their status its  NOERN NONPROFIT ORGANIZATION
	If the rights held by the having rights in the inventior would not qualify as an indep would not qualify as a small *NOTE: Separate verified small entities. (3:  NAME ADDRESS  INDIV  I acknowledge the duty entitlement to small entity sta	e above identified small business concern is listed below* and no rights to the inpendent inventor under 37 CFR 1.9(c) business concern under 37 CFR 1.9(d) statements are required from each named person, 7 CFR 1.27)  IDUAL SMALL BUSINESS CO	if that person made the invention, or by any concern which, or a nonprofit organization under 37 CFR 1.9(e).  concern or organization having rights to the invention averting to their status as the invention of their status are invention of any change in status resulting in loss of aying, the earliest of the issue fee or any maintenance fee due
	If the rights held by the having rights in the inventior would not qualify as an indep would not qualify as a small "NOTE: Separate verified small entities. (3:3)  NAME ADDRESS INDIV  NAME ADDRESS INDIV  I acknowledge the duty entitlement to small entity staffer the date on which status  I hereby declare that all and belief are believed to be the like so made are punishal	e above identified small business concern is listed below* and no rights to the inpendent inventor under 37 CFR 1.9(c) business concern under 37 CFR 1.9(d) statements are required from each named person, 7 CFR 1.27)  IDUAL SMALL BUSINESS CONTROLL	if that person made the invention, or by any concern which, or a nonprofit organization under 37 CFR 1.9(e).  concern or organization having rights to the invention averting to their status as a NONPROFIT ORGANIZATION  ONCERN ONOPROFIT ORGANIZATION  otification of any change in status resulting in loss of aying, the earliest of the issue fee or any maintenance fee due
	If the rights held by the having rights in the invention would not qualify as an indep would not qualify as a small "NOTE: Separate verified small entities. (3:  NAME ADDRESS  INDIV  I acknowledge the duty entitlement to small entity staffer the date on which status after the date on which status I hereby declare that all and belief are believed to be the like so made are punishal that such willful false statem	e above identified small business concern is listed below* and no rights to the inpendent inventor under 37 CFR 1.9(c) business concern under 37 CFR 1.9(d) statements are required from each named person, of CFR 1.27)  IDUAL SMALL BUSINESS CONTROLL SMALL BUSINESS CONTROL	if that person made the invention, or by any concern which, or a nonprofit organization under 37 CFR 1.9(e).  concern or organization having rights to the invention avering to their status as a nonprofit organization of their status are not organization of their status are not of their status
	If the rights held by the having rights in the inventior would not qualify as an indep would not qualify as a small "NOTE: Separate verified small entities. (3) NAME ADDRESS INDIV	e above identified small business concern is listed below* and no rights to the inpendent inventor under 37 CFR 1.9(c) business concern under 37 CFR 1.9(d) statements are required from each named person, 7 CFR 1.27)  IDUAL SMALL BUSINESS CONTROLL	if that person made the invention, or by any concern which, or a nonprofit organization under 37 CFR 1.9(e).  concern or organization having rights to the invention avering to their status as a nonprofit organization of their status are not organization of their status are not of their status
	If the rights held by the having rights in the invention would not qualify as an indep would not qualify as a small "NOTE: Separate verified small entities. (3)  NAME ADDRESS  INDIV  I acknowledge the duty entitlement to small entity staffer the date on which status  I hereby declare that al and belief are believed to be the like so made are punishal that such willful false statem this verified statement is dire  NAME OF PERSON SIGNI	e above identified small business concern is listed below* and no rights to the inpendent inventor under 37 CFR 1.9(c) business concern under 37 CFR 1.9(d) statements are required from each named person, of CFR 1.27)  IDUAL SMALL BUSINESS CONTROLL SMALL BUSINESS CONTROL	if that person made the invention, or by any concern which, or a nonprofit organization under 37 CFR 1.9(e).  concern or organization having rights to the invention avering to their status as a nonprofit organization of their status are not organization of their status are not of their status



3313-0377P



# IN THE U.S. PATENT AND TRADEMARK OFFICE

2791 Conf.: HUANG, Chiung-Yao 2834 Group:

G. PEREZ Applicant: 09/951,212 Examiner:

Appl. No.: September 11, 2001

ROTATIONAL KINETIC ENERGY GENERATOR Filed:

November 1, 2002 For:

Assistant Commissioner for Patents Washington, DC 20231

ATTN: W.P. ERWIN, DIRECTOR OF FINANCE ACCOUNTING DIVISION OFFICE OF FINANCE

REQUEST FOR REFUND (Improper Charge of Deposit Account)

# I.

This is a request for a refund with respect to the charge to Deposit Accor REFUND REQUEST

02-2448 dated June 10, 2002 for the above-identified

application 図

A copy of the monthly statement in which the error referred to o accompanies this request. 図

### II. FEES CHARGED FOR WHICH REFUND REQUESTED

		AMOUNT OF REFUND REQUESTED
	filing fee	
	surcharge for filing the basic filing on a date later than the filing date of the application (37 C.F.R. § 1.16(e))	
	and/or	
	surcharge for filing the oath or declaration on a date later than the filing date of the application (37 C.F.R. § 1.16(e))	
	extension of term	
	first month	
	second month	
	☐ third month	
	fourth month	
	excess claims	
	issue fee	
$\boxtimes$	petition fee	130.00
	patent maintenance fee	
	first maintenance fee	
	second maintenance fee	
	<pre>third maintenance fee</pre>	
	patent maintenance fee surcharge	
	Other:	
	TOTAL REFUND REQUESTED	\$130.00

#### III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR

A Petition Requesting That Response Period Be Restarted under MPEP § 710.06 Because of a Postmark Date Later than the Mail Date Printed on a PTO Action was filed on May 30, 2002. A Decision granting this Petition was mailed by the U.S. Patent and Trademark Office on July 15, 2002.

It is noted that the Petition was filed due to an error made on the part of the U.S. Patent and Trademark Office. A copy of the Decision on Petition, which confirms that the error was made by the U.S. Patent and Trademark Office, is attached. Accordingly, no fee should be due.

#### IV. MANNER OF REFUND

Please make refund by crediting Account No. 02-2448.

We respectfully request that the attached copy of this letter be returned to us with an indication that the credit has been processed.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

P.O. Box 747

Falls Church, VA 22040-0747

(703) 205-8000

Attachment

3313-0377P

KM/asc

(Rev. 12/07/01)

▼ PTO Logo

#### D posit Account Stat m nt

Requested Statement Month: ×

June 2002

**Deposit Account Number:** Name:

022448

BIRCH STEWART KOLASCH & BIRCH

Attention: Address:

8110 GATEHOUSE ROAD

City:

**FALLS CHURCH** 

State:

VA 22042

Zip:

06/14 81

**ATTORNEY** DATE SEQ REF TXT POSTING FEE DOCKET **AMT** BAL CODE **NBR** 06/03 1 09914349 0425-0850P 117 \$920.00 \$37,087,13 06/03 4 5307855 704 -\$65.00 \$37,152.13 06/03 94 10153668 12540207P 581 \$40.00 \$37,112.13 06/03 285 849657 569 \$25.00 \$37,087.13 06/03 286 849659 466 \$15.00 \$37,072.13 06/04 23 10067202 0020-4956P 104 \$280.00 \$36,792,13 06/05 13 10024298 1254-0191P 102 \$588.00 \$36,204.13 06/05 14 10024298 1254-0191P 104 \$280.00 \$35,924.13 06/05 15 10024298 1254-0191P 103 \$324.00 \$35,600.13 10156558 0020-4997P 06/05 19 581 \$40.00 \$35,560.13 06/05 193 850848 569 \$25.00 \$35,535.13 06/06 1 09678094 3615-114P 148 \$110.00 \$35,425.13 06/06 207 09461202 2185-388P 117 \$920.00 \$34,505.13 06/07 172 10161660 16140257P 104 \$4.00 \$34,501.13 06/10 5 09951212 3313-0377P-S 122 \$130.00 \$34,371.13 06/10 29 852311 569 \$25.00 \$34,346.13 06/10 31 09522615 21858-0415P- 179 \$740.00 \$33,606.13 06/10 123 09486365 704 -\$20.00 \$33,626.13 06/10 139 852683 566 \$60.00 \$33,566.13 06/11 100 09623910 0179-0164P 581 \$40.00 \$33,526.13 06/11 234 853388 567 \$30.00 \$33,496.13 06/12 36 76181575 361 \$325.00 \$33,171.13 06/12 37 76181619 0174-0167T 361 \$325.00 \$32,846.13 06/12 40 10110325 704 -\$54.00 \$32,900.13 06/12 44 09959097 704 -\$130.00 \$33,030.13 853664 06/12 89 566 \$15.00 \$33,015.13 06/12 90 853666 566 \$15.00 \$33,000.13 06/13 1 09747981 2484-0135P 102 \$84.00 \$32,916.13 06/13 13 10147966 704 -\$84.00 \$33,000.13 06/13 18 10119275 2750-1504P 101 **-\$740.00** \$33,740.13 06/13 53 10139323 0425-0914P 104 \$280.00 \$33,460.13 06/14 3 09407088 0033-0621P-S 126 \$180.00 \$33,280.13 06/14 3 09988312 2484-0150P 195 \$300.00 \$32,980.13 06/14 4 09922712 1781-0231P-S 195 \$300.00 \$32,680.13 06/14 6 09559173 103 \$90.00 \$32,590.13

105

\$130.00

\$32,460.13

10106192 0234-0450 P

	4 81	10106192		105	\$130.00	\$32,460.13
	4 97	09458959		119	\$320.00	\$32,140.13
	4 98	09458959		117	\$920.00	\$31,220.13
06/1		09486365		148	\$110.00	\$31,110.13
	7 18	10031635		964	-\$12.00	\$31,122.13
	7 20	10031635	1254-0193P	966	\$36.00	\$31,086.13
	7 38	855139		466	\$15.00	\$31,071.13
	7 76	6322703	0071-0386P	122	\$130.00	\$30,941.13
	7 77	6322703	0071-0386P	145	\$100.00	\$30,841.13
	7 83	10138473	•	704	-\$280.00	\$31,121.13
	7 150			566	\$15.00	\$31,106.13
	7 197			466	\$15.00	\$31,091.13
	7 238			704	-\$190.00	\$31,281.13
06/1		09906140		704	-\$475.00	\$31,756.13
06/18		09354151		242	\$640.00	\$31,116.13
06/18		09354151		561	\$12.00	\$31,104.13
06/18		10167408		704	-\$280.00	\$31,384.13
06/18	3 177	856317		566	\$30.00	\$31,354.13
06/19	9 64	10170470		704	-\$140.00	\$31,494.13
06/19	96	09984896	4082-0133P	122	\$130.00	\$31,364.13
06/19	101	09155675		117	\$20.00	\$31,344.13
06/19	104	09649619	2185-0466P-9	S 117	\$920.00	\$30,424.13
06/19	112	09701873		704	-\$20.00	\$30,444.13
06/19	209	857206		567	\$480.00	\$29,964.13
06/20	133	857583		570	\$400.00	\$29,564.13
06/21	1	09385839	0037-0180P	202	\$42.00	\$29,522.13
06/21	3	09708520	1247-0439P	126	\$180.00	\$29,342.13
06/21	4	09606201	1248-0507P-S		\$320.00	\$29,022.13
06/21	6	08584860	2801-0108P	581	\$80.00	\$28,942.13
06/21	15	858025		569	\$25.00	\$28,917.13
06/21	27	09880784	0941-0271P-S		\$300.00	\$28,617.13
06/21	114	858351		567	\$60.00	\$28,557.13
06/21	219	858574		567	\$30.00	\$28,527.13
06/21	269	09807469	0104-0323P	968	\$10.00	\$28,517.13
06/24	9	10110328		704	-\$18.00	\$28,535.13
06/24	217	09601455		704	-\$60.00	\$28,595.13
06/25	2	90006201		704	-\$2,520.00	
06/25	3	09747946	0905-0253P-S		\$252.00	\$30,863.13
06/25	42	10173841		704	-\$126.00	\$30,989.13
06/26	16	09961446	1163-0364P	581	\$40.00	\$30,949.13
06/27	5	09838160	3566-0111P	148	\$110.00	\$30,839.13
06/27	11	10129364	0104-0392P	960	\$150.00	\$30,689.13
06/27	14	10054789		704	-\$65.00	\$30,754.13
06/27	85	10168373	0696-0190P	968	\$84.00	\$30,670.13
06/28	3	09986099		102	\$252.00	\$30,418.13
06/28	3	10141610	0229-0693P	104	\$280.00	\$30,138.13
06/28	5	09979507	- <del></del>	704	<b>-\$150.00</b>	\$30,138.13
06/28	6	6143550		704	<b>-\$130.00</b>	\$30,418.13
06/28	7	6143550		704	-\$100.00	\$30,518.13
				- ·	7100.00	Ψου <sub>1</sub> ο 10, 13
			SUM OF CHARGES	SUM OF REPLENISH	END RALANCE	
		•	<del>-</del>		-, 12 11 TOL	

\$38,007.13 \$13,148.00 \$5,659.00 \$30,518.13

Need Help? | Return to USPTO Home Page | Return to Office of Finance Home P

## en.PDF

#### @PJL SET PAGEPROTECT=AUTO

@PJL SET ECONOMODE=OFF

@PJL SET RET=ON

@PJL SET RESOLUTION=600

@PJL SET IMAGEADAPT=AUTO

@PJL SET DENSITY=3

@PJL ENTER LANGUAGE=PCL